



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Brian T. Rosenberger, et al.  
 Serial No. 10/696,631  
 Filing Date: October 29, 2003  
 Examiner: Unknown  
 Group Art Unit: 1771  
 Title: CARBON NANOTUBE FABRICS

**Certification Under 37 C.F.R. 1.10**

Date of Mailing: March 23, 2005

I hereby certify that I have caused the documents indicated herein to be deposited with the United States Postal Service via "Express Mail to Addressee" under 37 CFR § 1.10 on the date indicated above and addressed to Mail Stop: Patent Applications; Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A handwritten signature in black ink, appearing to read "Rebecca J. Morrison".

Rebecca J. Morrison

**SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR § 1.97(b)(1)**

Dear Sir:

Applicants respectfully request, pursuant to 37 C.F.R. §§ 1.97 and 1.98, that the art listed on the attached PTO-1449 form be considered and cited in the examination of the above-identified application. Each item of information contained in this information disclosure statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement. A copy of the art is enclosed for the convenience of the Examiner.

Citation of the documents shall not be construed as:

- 1) an admission that the documents are necessarily prior art with respect to the instant invention;
- 2) a representation that a search has been made, other than as described above; or
- 3) an admission that the information cited herein is, or is considered to be, material to patentability as defined in § 1.56(b).

While Applicants believe no fees are due, if any fees are due, the Commissioner is hereby authorized to charge Deposit Account No. 50-2240 of Koestner Bertani, LLP.

Should the Examiner have any questions or desire further clarification, the Examiner is invited to telephone the undersigned at the number listed below. Please reference Attorney Docket No. 1017.P029US.

Respectfully submitted,

By: 

Robert A. McLaughlan  
Reg. No. 44,924

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Dated: March 23, 2005

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FORM PTO-1449  
US Department of Commerce  
Patent and Trademark Office



Docket Number  
1017.P029US

Serial Number  
10/696,631

INFORMATION DISCLOSURE STATEMENT  
BY APPLICANT  
(Use Several Sheets if Necessary)

Filing Date  
October 29, 2003

Group Art Unit  
1771

U. S. PATENT DOCUMENTS

| EXAMINER INITIAL | X   | DOCUMENT NUMBER | DATE    | NAME           | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|-----|-----------------|---------|----------------|-------|----------|----------------------------|
|                  | A1  | 2003/0102585 A1 | 6/5/03  | Poulin, et al. | 264   | 11       | 2/23/01                    |
|                  | A2  | 2004/0053780 A1 | 3/18/04 | Jiang, et al.  | 502   | 182      | 12/31/02                   |
|                  | A3  |                 |         |                |       |          |                            |
|                  | A4  |                 |         |                |       |          |                            |
|                  | A5  |                 |         |                |       |          |                            |
|                  | A6  |                 |         |                |       |          |                            |
|                  | A7  |                 |         |                |       |          |                            |
|                  | A8  |                 |         |                |       |          |                            |
|                  | A9  |                 |         |                |       |          |                            |
|                  | A10 |                 |         |                |       |          |                            |

FOREIGN PATENT DOCUMENTS

|  |    | DOCUMENT NUMBER | DATE    | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|--|----|-----------------|---------|---------|-------|----------|-------------|----|
|  |    |                 |         |         |       |          | YES         | NO |
|  | B1 | 02/055769 A1    | 7/18/02 | PCT     | D01F  | 9/127    |             | X  |
|  | B2 | 03/004741 A1    | 1/16/03 | PCT     | D01F  | 9/12     |             | X  |
|  | B3 |                 |         |         |       |          |             | X  |
|  | B4 |                 |         |         |       |          |             | X  |

OTHER DOCUMENTS  
(Including Author, Title, Date, Pertinent Pages, Etc.)

|    |  |
|----|--|
| C1 | Kaili Jiang, et al.; Spinning Continuous Carbon Nanotube Yarns; Nature, Vol. 419, 10/24/02, 1 p. |
| C2 |  |
| C3 |  |
| C4 |  |
| C6 |  |
| C6 |  |

EXAMINER DATE CONSIDERED